Department of Veterans Affairs Office of Research & Development Data Management and Access Plan (DMAP) Template (Version: 7/29/16)

Please complete and include in your application for funding according to the Request for Applications instructions.

1. Name of Principal Investigator:

2. Title of Proposal:

- 3. Publication requirement (check box to acknowledge):
 - Publications from this research will be made available to the public through the National Library of Medicine PubMed Central website within one year after the date of publication (guidance is provided on the ORD website).
- 4. Public access to final data sets resulting from the proposed research (check A or B below):
 - □ A. Final data sets underlying all publications resulting from the proposed research will <u>not</u> be shared outside VA, except as required under the Freedom of Information Act (FOIA), for the following reasons:

500 character limit

If your response to Question 4 is A, STOP HERE.

□ B. Final data sets underlying all publications resulting from the proposed research will be shared outside VA.

Mechanisms for public access to final data sets underlying publications from this research

- 5. Research involving human subjects:
 - □ A. The data sets do not include research involving human subjects.

If your response to Question 5 is A, proceed to Question 6

- □ B. The data sets include research involving human subjects (check all boxes below that apply).
 - Individually Identifiable Data will be shared pursuant to valid HIPAA Authorization,
 Informed Consent, and an appropriate written agreement limiting use of the data to the conditions as described in the authorization and consent.
 - □ Individually Identifiable Data, excluding Veterans' names and 38 USC §7332-protected information, will be shared pursuant to a written request and IRB approved waiver of HIPAA authorization, with the approval of the Under Secretary for Health, in accordance with VHA Handbook 1605.1 §13.b(1)(b) or §13.b(1)(c) or superseding versions of that Handbook.

Note: Subject to all other listed requirements, Veterans' names may be shared with other Federal agencies (38 USC §5701), and with non-Federal investigators who provide the names and addresses of the individual subjects.

- Individually Identifiable Data, including 38 USC 7332-protected information, will be shared pursuant to the above requirements and a written assurance from the recipient that the information will be maintained in accordance with the security requirements of 38 CFR Part 1.466, or more stringent requirements, the information will not be re-disclosed except back to VA, and the information will not identify any individual patient in any report of the research or otherwise disclose patient identities.
- A Limited Dataset (LDS) will be created and shared pursuant to a Data Use Agreement (DUA) appropriately limiting use of the dataset and prohibiting the recipient from identifying or re-identifying (or taking steps to identify or re-identify) any individual whose data are included in the dataset.

□ A de-identified, anonymized dataset will be created and shared.

NOTE: Where practicable, sharing should take place under a written agreement prohibiting the recipient from identifying or re-identifying (or taking steps to identify or re-identify) any individual whose data are included in the dataset. However, it is permissible for final datasets in machine-readable format to be submitted to and accessed from PubMed Central (and similar sites) provided that care is taken to ensure that the individuals cannot be re-identified using other publicly available information.

- 6. Research involving **animals and/or basic science**:
 - □ A. The data sets do not include research involving animals and/or basic science.

If your response to Question 6 is A, proceed to question 7

□ B. The data sets include research involving animals or basic science:

Final data sets underlying publications resulting from this research will be shared using the following mechanisms (*e.g., upon written request, through a website, databank, or repository):*

500 character limit

7. Description of how and where final data sets will be made available to the public:

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8. Explanation of how data sharing and preservation will enable validation of results by recipients:

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9. Description of the mechanisms to ensure the protection of personal privacy of research subjects, the confidentiality of individually identifiable private information, and the secure maintenance of proprietary data and information (as relevant):

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